

**SCHEDULE OF CHARGES – Depository [NSDL – DP ID: IN-302236 & CDSL DP ID: 12029900]**

Charge Head		Charges *
AMC charges for Basic Service Demat Account (BSDA)	Value of Holdings in the Demat Account (Debt as well as other than debt securities combined) upto Rs. 4,00,000/-	Nil
	Value of Holdings in the Demat Account (Debt as well as other than debt securities combined) is more than Rs 4,00,000/- but up to Rs. 10,00,000/-	Rs. 100/-
	<i>If on any date the Beneficial Owner ceases to meet the eligibility criteria for BSDA, then normal charges will be levied.</i>	
Charge Head		Charges *
AMC Charges - Individual		Rs. 300/-
AMC Charges - Corporate		Rs. 1000/-
Custody Charges		Nil
Transaction Charges (Buy)		Nil
Transaction Charges (Sell)	Within Dhani	Rs. 20/- or 0.02% of the transaction value (whichever is higher)
	Outside Dhani	Rs. 30/- or 0.03% of the transaction value (whichever is higher)
Failed Instruction		Nil
Pledge : Creation / Confirmation / Closure / Invocation		Rs. 35/- or 0.02% of the transaction value (whichever is higher)
DEMAT /Conversion		Rs. 5/- per certificate/unit + Rs. 50/- courier charges
DEMAT/Conversion Rejections		Rs. 25/- per rejection + Rs. 50/- courier charges
DEMAT/Conversion Rejections (For NRI and OCB clients)		Rs. 25/- per rejection + Courier/Postal Charges for Security/MF item, security/MF certificate etc.
REMAT / Redemption/ Restart - SOA		a. A fee of Rs. 35/- for every 100 securities or part thereof. (OR) b. A flat fee of Rs. 35/- per certificate, whichever is higher.
Delivery Instruction Book		Nil
Fax Indemnity (Optional)		Actual
Courier/Postal Charges of Security/MF item, security/MF certificate etc.		Actual (Applicable for NRI/OCB clients only)
Courier/Postal Charges for dispatch of documents in hard form		Rs 50/- per dispatch
		Rs 25/- per dispatch for BSDA clients
Non Disposable Undertaking (NDU)		Rs. 35 or 0.02% of the transaction value (whichever is higher)
Margin Pledge Creation/Closure		Rs. 15/-
Margin Pledge Creation/Closure Confirmation / Invocation		Nil
Margin Trading Funding Pledge - Creation		Rs. 35/- per instruction
Margin Trading Funding Pledge – Closure/Invocation		Nil
<b>* GST extra</b>		

\_\_\_\_\_

**Signature (Sole/First Holder)**

\_\_\_\_\_

**Signature (Second Holder)**

\_\_\_\_\_

**Signature (Third Holder)**

**Note:**

- In case of delays in the payment of charges, the demat account will be frozen for all operations till such time all dues are cleared subject to 30 days' notice from the payment due date.
- All instructions for transfer must be received in physical form from the clients upto 5:00 PM on "T day" for pay-in of securities. Late instructions would be accepted at the account holder's sole risk and responsibility.
- Charges are subject to revision at the company's sole discretion and as per revision in NSDL/CDSL charges and are subject to 30 days' notice from the date of applicability.
- All payments to be made in the name of "Dhani Stocks Ltd." via a cheque/DD payable at the local branch where the account is opened. Out-station cheques will not be accepted.
- Out of pocket expenses incurred on the dispatch of securities for Dematerialization and communication charges incurred on out-station calls/faxes made specifically on request of the client will be charged on actuals.
- Any advance payment over and above the normal amount due can also be made.
- It may be noted that in the event of demat account closure or shifting of demat account to another DP, the upfront annual AMC collected is refundable to the extent of balance quarter(s)
- In case of BSDA Account, the value of holdings exceeds Rs. 10,00,000/- on any date or the account holder cease to meet the eligibility criteria for BSDA, then charges would be levied as that applicable to regular account (non-BSDA) from that date onwards.

**CHARGES ON NON-PAYMENT OF DUES:**

Penalty and Interest Charges	NSDL	A penalty of 2% per month on the value outstanding on the bill after one month from the due date.
	CDSL	A penalty of 1.083% per month on the value outstanding on the bill after one month from the due date
Non-Payment of bill after 30 days		Temporarily the Depository Services will be withdrawn from the payment due date. The renewal charges for resuming the Services will be Rs. 100/- per account.

\_\_\_\_\_

**Signature (Sole/First Holder)**

\_\_\_\_\_

**Signature (Second Holder)**

\_\_\_\_\_

**Signature (Third Holder)**